

COMMISSION

Rate **\$0.003 per share**

Orders < 300 \$0.99

Maximum Commission \$30.00

Examples:

Buy 10 shares \$0.99

Buy 300 shares \$0.99

Sell 1000 shares \$3.00

Sell 10000 shares \$30.00

OTHER TRADING FEE

Locate Fee

At Cost, additional one time charge of 2X when held overnight and 4X when held over a weekend.

Short Interest Fee

At Cost

Margin Interest

7.5% annually (only applies to stocks held overnight with values exceeding equity)

TAF Fee

\$0.000119 per share, sell side only

SEC Fee

0.0000229 x dollar amount, sell side only

NSCC Fee

0.00004 per share

SOFTWARE/PLATFORM AND DATA FEE

Platform Fee

PLATFORM	STERLING TRADER	DAS TRADER	TAKION
Web	-	\$25	\$25
Desktop PRO	\$200	\$115	\$110
Mobile App	-	\$45	\$25

*Platform fee is waived if trade volume > 30k share per month on Web/Mobile

*Professional users will also get charged for NSDQ L1, NYSE L1 and AMEX L1 for \$115

DAS Trader Data Add-Ons

Data Entitlement	Non Pro	Pro
ARCA Book	\$15	\$60
Nasdaq Total view	\$25	\$100
Nasdaq Imbalance	\$15	\$15
IEX Book	\$5	\$10
OPRA (Option) L1	\$15	\$40
OPRA (Option) L2	\$50	\$75
Pink sheet L1	\$15	\$38
Pink sheet L2	\$50	\$90

Sterling Trader PRO Data Add-Ons

Data Entitlement	Non Pro	Pro
ARCA Book	\$15	\$60
EDGX Book	\$15	\$55
EDGA Book	\$5	\$15
BATS Book	\$15	\$55
Nasdaq Total View	\$25	\$100
NYSE Open Book	\$25	\$68
ARCA Alert	\$3.5	\$3.5
NYSE Alert	\$3.5	\$3.5

Dow Jones Indices	\$20	\$35
Basic News	\$38	\$38
Pink sheet L1	\$15	\$38
Pink sheet L1+2	\$50	\$90

Taktion Data Add-Ons

Data Entitlement	Non Pro	Pro
ARCA Book	\$15	\$60
EDGX Book	\$15	\$55
EDGA Book	\$5	\$15
BATS Book	\$15	\$55
BATY Book	5	15
NASDAQ Total View	\$25	\$100
NYSE Open Book	\$25	\$68
Nasdaq and S&P E-minis Futures	\$78	\$78
Options Quotes	\$15	\$40
OTC Pink Sheets	\$15	\$38

OPTION PRICING

TYPE	FEE
Options	\$0.55 per contract
Options Clearing Corp OCC	\$0.02 per contract with a maximum of \$55 per trade
Options Regulatory Fee ORF	\$0.0378 per contract
SEC Fee (Sell side)	\$0.0000229 * principal of trade (rounded up always)

OTHER FEE

DESCRIPTION	FEE
Outgoing Wire Withdrawal	Minimum of \$50
Incoming Wire Deposit	At Cost
Mergers & Acquisition (Reverse Split & Symbol Change)	\$25
Broker Assisted Trade	\$25

All prices are subject to change at any time without notice. Fees are exclusive of sales or any other taxes where applicable.